



PATENT

Case Docket No. AMAZON.062A3

Date: September 27, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Caplan, et al.
Appl. No. : 09/928,977
Filed : August 13, 2001
For : HOSTED SERVICES FOR
COLLECTING PAYMENTS
FROM AND PROVIDING
PERSONALIZED CONTENT
TO WEB SITE VISITORS
Examiner : UNKNOWN
Group Art Unit : 2165

I hereby certify that this correspondence and all marked
attachments are being deposited with the United States
Postal Service as first class mail in an envelope addressed
to: United States Patent and Trademark Office, P.O. Box
2327, Arlington, VA 22202, on

September 27, 2002

(Date)

Ronald J. Schoenbaum, Reg. No. 38,297

RECEIVED
OCT 04 2002
GROUP 3000

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are the following documents:

- (X) A Supplemental Information Disclosure Statement;
- (X) A PTO Form 1449 listing four (4) references, copies of which are enclosed; and
- (X) A return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
any overpayment, to Account No. 11-1410.

Ronald J. Schoenbaum
Registration No. 38,297
Attorney of Record

AMAZON.062A3



2165 ^{#7} collect

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Caplan, et al.) Group Art Unit 2165
App. No. : 09/928,977)
Filed : August 13, 2001)
For : HOSTED SERVICES FOR)
COLLECTING PAYMENTS)
FROM AND PROVIDING)
PERSONALIZED CONTENT)
TO WEB SITE VISITORS)
Examiner : UNKNOWN)

RECEIVED
OCT 04 2002
GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing four (4) references that are also enclosed, all of which were cited by the PCT examining authority (USPTO) in counterpart PCT application WO/02/37233 A2. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9-27-02

By: Ronald J. Schoenbaum
Ronald J. Schoenbaum
Registration No. 38,297
Attorney of Record
2040 Main Street, Fourteenth Floor
Irvine, CA 92614
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
AMAZON.062A3APPLICATION NO.
09/928,977INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANTS
Caplan, et al.FILING DATE
August 13, 2001GROUP ART UNIT
2165

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	6,330,575	12/11/01	Moore, et al.			
	2002/0095372	07/18/02	Likourezos, et al.			

RECEIVED
OCT 04 2002
GROUP 3500

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/67177 A2	11/09/00	PCT				
	JP02002092351A	03/29/02	Japan				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

H:\DOCS\ROS\ROS-4663.DOC\dns\092702

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	